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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Date: November 23, 2005

Mukai

IBM Corporation

S.N. 09/965,940

Dept. T81/Bldg. 503

Filed: September 27, 2001

P.O. Box 12195

Title: Method and System for

Research Triangle Park, NC 27709

Music Distribution

Art Unit: 2142

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which there may be a duty to disclose in accordance with 37 CF 1.56 which they have just been made aware of from a foreign counterpart location.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other

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information referred to herein is "prior art" for this invention unless specifically designated

as such.

In accordance with 37 CFR 1.97 (d) (e) each item of information contained in this

information disclosure statement was first cited in a communication from a foreign patent

office in a counterpart foreign application not more than three months prior to the filing of

this information disclosure statement; the Examiner is hereby authorized to charge the

Petition Fee of \$180.00 to Deposit Account 09/0461.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure

Statement shall not be construed to mean that a search has been made or that no other

material information as defined in 37 CFR 1.56(a) exists. The attached form, PTO-1449.

provides a listing of patents, publications, or other information as required by 37 CFR

1.98(a)(1). A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

A. Bruce Clay

Attorney for Applicants

Registration No. 32,121

PHONE: 919-543-9294; FAX: 919-254-4330

IBM Docket No. JP920000206US1

Customer No. 25259

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO		Complete if Known			
				Application Number	09/965,940
INFORMATION DISCLOSURE				Filing Date	September 27, 2001
ST	STATEMENT BY APPLICANT			First Named Inventor	Mukai
(	use as many she	ets as nec	essary)	Art Unit	2142
				Examiner Name	Vu, Thong H.
Sheet	1	of	4	Attorney Docket Number	JP920000206US1

			U.S. PATE	NT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		FOREIG	ON PATENT DOC	UMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	т
~	1.	PUPA 09-288635	11/04/1997	Sony Corporation	Claims 1-9, 10-19	T
	2.	PUPA 11-328851	11/30/1999	Sony Corporation	Claims 8,9,18,19	
	3.	PUPA08-102933	04/16/1996	IBM Corporation		
	4.	PUPA11-312175	11/09/1999	Sony Corporation		1
	5.	PUPA10-091500	04/10/1998	NEC Corporation		
	6.	PUPA10-124069	05/15/1998	Yamaha Corporation		
	7.	PUPA10-232684	09/02/1998	Ricoh Co. LTD.		1
	8.	PUPA08-185194	07/16/1996	Brother Ind. Ltd.		1
***************************************	9.	PUPA11-275268	10/08/1999	Matsushita Electric Ind		1
	10.	PUPA11-073360	03/16/1999	Matsushita Electric Ind		

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Signature	Considered	
	 Considered	

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Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.

Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached. Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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s	ubstitute for form 14	449B/PTO		Comple	Complete if Known		
				Application Number	09/965,940		
	INFORMAT	TION DISC	LOSURE	Filing Date	September 27, 2001		
	STATEMENT BY APPLICANT			First Named Inventor	Mukai		
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				Examiner Name	Vu, Thong H.		
St	neet 2	of	4	Attorney Docket Number	RSW920040130US1		

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Application Number	09/965,940
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Examiner Name	Vu, Thong H.
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Initials* No	No.1	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear
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	11.	PUPA07-202816	08/04/1995	Yamaha Corp.		T		
	12.	2000-076542	03/14/2000	Digicube Co. Ltd.		1		
	13.	2002-083190	03/22/2002	Sony Corporation				
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Sheet	4	of	4	Attorney Docket Number	RSW920040130US1

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